



Express Mail No.: EL 477 033 690 US

1632  
#10  
DO  
1/31/02

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Bermudes et al.

Application No.: 09/645,415

Group Art Unit: 1632

Filed: August 24, 2000

Examiner: To be assigned

For: COMPOSITIONS AND METHODS FOR  
TUMOR-TARGETED DELIVERY OF  
EFFECTOR MOLECULES

Attorney Docket No.: 8002-059

TECH CENTER 1600/2800

JAN 17 2002

RECEIVED

**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.56 AND 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 C.F.R. §§ 1.56 and 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby invite the Examiner's attention to the references FC-FM listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant." Copies of references FC-FM are submitted herewith.

Identification of the listed references is not meant to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith several pages of a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited".

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants believe that no fee is required for filing this Information Disclosure Statement. However, should the Patent Office

determine otherwise, please charge the required fee to Pennie & Edmonds LLP Deposit  
Account No. 16-1150. A duplicate of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date January 11, 2001

Geraldine F. Baldwin 31,232  
Geraldine F. Baldwin (Reg. No.)

PENNIE & EDMONDS LLP  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosures

By: Jennifer J. Chheda  
Reg No. 46,617